

SPPT to RAPID Crosswalk - Old SPPF Fields to RAPID Fields - Work for Others -

Field No. SPPF Field

RAPID Proposal Panel and Field

1. General Information		
1	Division	Proposal Project Panel - Department (use Level 1, two digit DeptID)
2	Program/Group	Proposal Project Panel - Subdivision (use Level 4, four digit organization)
3	Administrative Contact	Proposal Project Panel - Dept Contact (Choose from dropdown list for your division)
4	Type of Proposal	Proposal Header - Type
5	Current Project Number	No Longer Used
6	Deadline	Proposal Header - Due Date; Type, Time and Zone
7	Project Title	Proposal Header - Short Title (and Long Title if needed)
8	Acct. Number for FedEx	Proposal Submission/Submission Panel - Mailing Instructions
9	Work Scope	Proposal Reports - Abstract
10	Proposal Project Period	Proposal Header - Begin/End Dates
11	PI Name	Proposal Header - PI (automatically filled when the emplID is entered)
		Proposal Resources - Proposal Professionals (PI automatically filled in from the Proposal Header PI field)
12	Employee Number	Proposal Header - PI (use emplID to identify PI)
13	Percent Effort	Proposal Header - Additional Information - Percent Effort
14	Phone and Fax	RAPID is integrated with LBNL People View and this information is in Professional Table
15	E-mail	RAPID is integrated with LBNL People View and this information is in Professional Table
16	Mailstop	RAPID is integrated with LBNL People View and this information is in Professional Table

17	Co-PI	Proposal Resources - Proposal Professionals
18	Employee No.	Proposal Resources - Proposal Professional (use emplID to identify Co-PI)
19	Percent Effort	Proposal Header - Additional Information - Percent Effort
20	Phone and Fax	RAPID is integrated with LBNL People View and this information is in Professional Table
21	E-mail	RAPID is integrated with LBNL People View and this information is in Professional Table
22	Mailstop	RAPID is integrated with LBNL People View and this information is in Professional Table
23	Sponsor Name	Proposal Header - Sponsor (use the Sponsor Code from the dropdown box to populate Sponsor)
24	Send Proposal to	Proposal Submission/Submission Panel - Mailing Instructions
25	Phone and Fax	Proposal Submission/Submission Panel - Mailing Instructions
26	E-mail	Proposal Submission/Submission Panel - Mailing Instructions
27	Address	Proposal Submission/Submission Panel - Mailing Instructions
28	Sponsor Administrative Contact: Name	Proposal Submission/Submission Panel - Mailing Instructions
29	Phone and Fax	Proposal Submission/Submission Panel - Mailing Instructions
30	E-mail	Proposal Submission/Submission Panel - Mailing Instructions
31	Address	Proposal Submission/Submission Panel - Mailing Instructions
II. Institutional Approvals		
32	Institutional Approvals	Prints out as part of the internal SPPF Forms and DOE Approval Request Form under Proposal Reports
III. DOE Review Factors		

33	DOE Review Factors (page 2)	Proposal Review - DOE Review Factors
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IV. Budget, Effort, and First 4 months of Estimated Costs		
34	Summary Budget	Proposal Budget Header, Budget Period and Budget Line Summary
35	Estimated Advance for Non-Federal Sponsored Projects	Proposal Header - Additional Information -Provide the Estimate in the Comments Sections
V. NEPA/CEQA and EH&S Review		
36	NEPA/CEQA Form	Proposal Review - NEPA Review Factors
VI. Non-Proliferation, Counter-Terrorism, or Intelligence Activity		
37	Nonnuclear/Nonproliferation	Proposal Review - Nuclear Non-Proliferation
VII. Intellectual Property (Only Required for Non-Federal Sponsors)		
38	IP Section	Proposal Review - Intellectual Property (Background and Proprietary IP is in a different section)
VIII. Conflict of Interest		
39	Conflicts of Interest	Proposal Review - Conflicts of Interest
XIX. Background/Proprietary Intellectual Property		
40	Proprietary Intellectual Property	Proposal Review - Background/Proprietary Property

SPO use only:	Proposal No.
	Sponsor Code:
	WFO/B&R Code:

LBNL Sponsored Project Proposal Form

Work for Others (WFO)

I. GENERAL INFORMATION

DIVISION: 1 PROGRAM/GROUP: 2
 ADMINISTRATIVE CONTACT: 3
 Name, extension, fax, mailstop, and e-mail address
 TYPE OF PROPOSAL: 4 CURRENT PROJECT NUMBER (if any): 5 - N/A
 N-New, R-Renewal, C-Continuation, B-Resubmission, S-Supplement, V-Revision
 DEADLINE (explain if rush): 6 Acct. Number for FED EXP. 8
 PROJECT TITLE: Title 7
 WORK SCOPE: 9

PROPOSED PROJECT PERIOD: 10

PI NAME:	<u>11</u>	CO-PI NAME:	<u>17</u>
Employee No.:	<u>12</u>	Employee No.:	<u>18</u>
Percent Effort*:	<u>13</u>	Percent Effort*:	<u>19</u>
Phone and Fax:	<u>14</u>	Phone and Fax:	<u>20</u>
E-mail:	<u>15</u>	E-mail:	<u>21</u>
Mailstop:	<u>16</u>	Mailstop:	<u>22</u>

*Average annualized percent effort that the PI or Co-PI will devote to this project

SPONSOR NAME:	<u>23</u>	SPONSOR ADMINISTRATIVE CONTACT:	
Send Proposal to:	<u>24</u>	Name:	<u>28</u>
Phone and Fax:	<u>25</u>	Phone and Fax:	<u>29</u>
E-mail:	<u>26</u>	E-mail:	<u>30</u>
Address:	<u>27</u>	Address:	<u>31</u>

II. INSTITUTIONAL APPROVALS

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LBNL/SPO:	DOE:
_____	_____
Date	Date
Name: _____	Name: _____

LBNL Sponsored Project Proposal Form I WFO**III. REVIEW FACTORS**

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Check the appropriate box and provide explanation if requested. Attach additional pages if needed.

Yes

No

☐☐

Proposed work requires LBNL's special expertise, unique capability, or unique facilities; private facilities are inadequate for performance of proposed work. Explanation given below.

☐☐

Proposed work is consistent with or complementary to mission of LBNL. Explain and cite related B&R codes:

☐☐

Proposed work has been reviewed to ensure that there is no adverse impact on the execution of LBNL's assigned DOE Programs.

☐☐

Proposed work has been reviewed to ensure that there is no aspect of the work that would create a detrimental future burden on DOE resources.

☐☐

Work includes subcontracting with an aggregate value greater than \$100,000 and/or exceeding 40% of total project costs. Explanation below indicates how LBNL is selecting the subcontractor(s), and describes how LBNL will still meet its unique capability requirement, given the high level of subcontracting. LBNL must be responsible for selecting the subcontractor(s).

☐☐

This proposal is in response to a Broad Agency Announcement (BAA) or any non-Federal sponsor solicitation. Copy of solicitation is attached.

☐☐

Waiver of Full Cost Recovery is being requested. A completed DOE Exception to Full Cost Recovery form is attached.

☐☐

Human and/or animal subjects are involved in the proposed work. Work will not begin until appropriate LBNL/UC approvals have been obtained.

☐☐

Project involves building modifications and/or construction anticipated to cost in excess of \$250,000. Currently estimated cost of modifications/construction: _____

☐☐

This is a non-Federal Sponsor. (Contracts Officer to attach Justification for IP Rights Allocation)

IV. BUDGET, EFFORT, AND FIRST 4 MONTHS ESTIMATED COSTS

	FTE	Dollars*
FY 2000	_____	_____
FY 2001	_____	_____
FY 2002	_____	_____
FY 2003	_____	_____
FY 2004	_____	_____
TOTAL	0.00	\$0

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For Non-Federal Sponsored Projects:

Provide the maximum potential costs to be incurred during the first four months of the project: \$ _____

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*Dollar amounts must include Full Cost Recovery

LBNL Sponsored Project Proposal Form I WFO

V. NEPA/CEQA and EH&S REVIEW

Proposal #: _____

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Project Title: _____ Title

1. Identify LBNL, UCB, DOE facility and any other off-site locations where work will be performed:

Yes No Uncertain If "yes" or "uncertain," please explain on separate page(s).

☐ ☐ ☐ 2. For LBNL locations: Does the project involve room or building modifications?

☐ ☐ ☐ 3. Would the project disturb hazardous substances or contaminants that preexist in the environment, such that there would be a release not covered by agency permits?

☐ ☐ ☐ 4. For work at non-DOE locations only: Would the project disturb any of the following environmentally sensitive resources?

* Property (e.g., sites, buildings structures, objects) of historic, archeological significance designated by Federal, state or local governments or property eligible for listing on the National Register of Historic places;

* Federally-listed threatened or endangered species or their habitat, Federally-proposed or candidate endangered species or their habitat; or state-listed endangered or threatened species or their habitat;

* Wetlands regulated under the Clean Water Act and floodplains;

* Federally and state-designated wilderness areas, national parks, national natural landmarks, wild and scenic rivers, state and Federal wildlife refuges, and marine sanctuaries;

* Prime agricultural lands;

* Special sources of water, such as sole-source aquifers, wellhead protection areas, or other water sources vital to the region;

* Tundra, coral reefs, or rain forests.

☐ ☐ ☐ 5. Would the project result in hazardous emissions, wastes, or effluents outside permit limits?

☐ ☐ ☐ 6. Is new or additional safety documentation needed for the work?

Division

Principal Investigator

Date

To be completed prior to beginning work:

Proposed classification:

LBNL NEPA/CEQA Program

Date

DOE NCO

Date

Submit original form w/copy of proposal to NEPA/CEQA Prog. Manager; submit unsigned copy to SPD with proposal package

LBNL Sponsored Project Proposal Form I WFO

VI. NON-PROLIFERATION, COUNTER-TERRORISM, OR INTELLIGENCE ACTIVITY

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It is the policy of the Director's Office that all proposals involving non--proliferation, counter-terrorism, or intelligence activity to any DOE or non-DOE sponsor be reviewed and approved by Dr. Bill Barletta (AFRD) or his designee for consistency with institutional expertise and for consistency with the Laboratory Mission. The proposal budget must include the burden associated with the above review.

One or more "Yes" responses to any of the following questions means this policy applies to this proposed activity:

Yes No

☐ ☐

Is this activity related in any manner to weapons of mass destruction, including but not limited to chemical, biological or nuclear weapons research or production?

☐ ☐

Is this activity related in any manner to threat analysis or detection or to technologies to analyze and mitigate threats? (Nuclear/Radiological, Biological, Chemical, unexploded ordinance (UXO), mines and mine detection, and the like?)

☐ ☐

Is this activity related in any manner to non-proliferation, counter-terrorism, or intelligence related work.

VII. INTELLECTUAL PROPERTY (ONLY REQUIRED FOR NON-FEDERAL SPONSORS):

Non-Federal sponsors will receive title to LBNL inventions under this project, unless one of a. through f. applies. Attach explanation for a "Yes" answer:

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Yes No

a. ☐ ☐

The Sponsor declines its right to title in LBNL inventions.

b. ☐ ☐

Likely inventions would be research tool(s) which should be available to many organizations.

c. ☐ ☐

A domestic Sponsor's interest to appropriately commercialize is in fewer fields of use than are realistically commercially feasible for the invention.

d. ☐ ☐

Sponsor's funding is from a Federal agency.

e. ☐ ☐

Sponsor is UC.

f. ☐ ☐

There are special facts and it is not in the best interests of the United States.

g. ☐ ☐

Is there LBNL background intellectual property (IP) that may be used in the proposed project? Are there any other issues that need to be considered in allocating IP rights?

LBNL Sponsored Project Proposal Form I WFO

VIII. CONFLICTS OF INTEREST

N/A Yes No

☐ ☐ ☐

For NSF/NIH projects: To the best of the signatories' knowledge, does the Investigator or the Co-Investigators or any other individual with independent responsibility for accomplishing project objectives have a related financial interest as defined by LBNL guidance and policy regarding Disclosure of Financial Interest? If yes, attach NSF/NIH Disclosure form.

☐ ☐

Is this a non-Federal Sponsor?

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If Yes, check (a) or (b):

☐

(a) 730U and if necessary, the 730U Addendum is attached.

☐

(b) Sponsor is on the exempt list for 730U filings.

IX. PROPRIETARY INFORMATION

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Yes No

☐ ☐

Is there "proprietary information", e.g. data or information from an LBNL invention disclosure statement(s), third party * trade secrets, commercial or financial information which is privileged or confidential which is/was developed at private expense outside this Work for Others proposal? NOTE: If you believe this proposal contains proprietary information or ideas or involves patentable subject matter, LBNL will ask the sponsor(s) to review the proposal with appropriate confidential treatment. Identify the proposal page numbers which contain such information and attach a description of the issues: _____

(* Third Party means private industry, non-profit organization, or other governmental entity.)

LBLN Sponsored Project Proposal Form I WFO**X. DIVISION APPROVALS**

The Division Director is responsible for evaluating and approving this proposal prior to submission to SPO. The basic consideration given a proposal in the review should include:

- I Determining project appropriateness to LBNL and DOE
 - . Evaluating the technical merits of the proposal
 - . Considering the possible deflection of key personnel from LBNL's primary research programs
 - . Determining requirements for and availability of space, support, and equipment
 - . Ensuring compliance with LBNL, DOE, and University policy
 - . Evaluating the proposal in light of the attached Conflict of Interest Requirements
 - . Assuring the safety of personnel and protection of the environment.

Submit proposal to SPO at least 10 working days before the proposal due date.

_____ Principal Investigator	_____ Date	Other Participating Divisions (if any) or if Non-Proliferation, Counter-Terrorism, Intelligence Proposal, William Barletta, AFRD Division Director
_____ Co-Principal Investigator	_____ Date	_____ Division Director
_____ Division Director	_____ Date	_____ Division Director
		_____ Date

<input checked="" type="checkbox"/> Proposal/Statement of Work	<input type="checkbox"/> NSF/NIH Disclosure Form
<input checked="" type="checkbox"/> Budget by fiscal year (w/summary if multi-year)	<input type="checkbox"/> Form 730U and Addendum, if applicable
<input checked="" type="checkbox"/> LBNL Conflict of Interest Requirements	<input type="checkbox"/> Discussion of proprietary information
<input type="checkbox"/> DOE Exception to Full Cost Recovery	<input type="checkbox"/> Intellectual property information
<input type="checkbox"/> Additional explanation pages for Review Factors	<input type="checkbox"/> Copy of Broad Agency Announcement or non-Federal solicitation.